



INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Complete if Known	
				Application Number	10/815,222
				Filing Date	March 31, 2004
				First Named Inventor	Andrew Ginter, et al.
				Art Unit	2154
				Examiner Name	Not Yet Assigned <i>V. Vn</i>
Sheet	1	of	1	Attorney Docket Number	VRS-00101

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
<i>VV</i>	AA	Amazon.com Book Search Results for Snort 2.0 Intrusion Detection, February 2003 (JAY BEALE, JAMES C. FOSTER, JEFFREY POSLUNS and BRIAN CASWELL, Syngress Publishing, Inc., Rockland, MA, ISBN: 1-931836-74-4)	<input type="checkbox"/>
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Examiner Signature	<i>V. Vn</i>	Date Considered	<i>2-6-06</i>
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U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
VV		US-6,219,719 B1	Apr. 17, 2001	Lars Oliver Graf	
VV		US-6,212,581 B1	Apr. 3, 2001	Lars O. Graf	
VV		US-5,619,656	Apr. 8, 1997	Lars O. Graf	
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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appeal	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
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Sheet	2	of	6	Attorney Docket Number	VRS-00101

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✓✓	A	SnortUsers Manual, Copyright ©1998-2003 MARTIN ROESCH, Copyright ©2001-2003 CHRIS GREEN, Copyright © 2003 Sourcefire, Inc. – http://www.snort.org/docs/snort_manual	<input type="checkbox"/>	
✓✓	B	Arpwatch.txt, 4 th Berkeley Distribution, October 8, 2000, Arpwatch(8), ftp://ftp.ee.lbl.gov/arpwatch.tar.gz	<input type="checkbox"/>	
✓✓	C	RTAP FOR UNIX® Integrator's Guide, August 2000, Copyright ©1998-2000 Hewlett-Packard (Canada) Ltd.	<input type="checkbox"/>	
✓✓	D	Linux Journal - Issue 117: Monitoring Hard Disks with SMART, posted on January 1, 2004 by BRUCE ALLEN, www.linuxjournal.com/article.php?sid=6983	<input type="checkbox"/>	
✓✓	E	Smartmontools Home Page, maintained by BRUCE ALLEN, Copyright ©2002-2004, http://smartmontools.sourceforge.net/	<input type="checkbox"/>	
✓✓	F	RFC 1700: Assigned Numbers by J. REYNOLDS and J. POSTEL, October 1994, ISI, www.cse.ohio-state.edu/cgi-bin/rfc/rfc1700.html	<input type="checkbox"/>	
✓✓	G	RFC 826: An Ethernet Address Resolution Protocol or Converting Network Protocol Addresses to 48.bit Ethernet Address for Transmission on Ethernet Hardware Abstract by DAVID C. PLUMMER, November 1982, www.cse.ohio-state.edu/cgi-bin/rfc/rfc0826.html http://smartmontools.sourceforge.net	<input type="checkbox"/>	
✓✓	H	SMARTD.CONF – SMART Disk Monitoring Daemon Configuration File (online help describing the SMART monitoring tools project), http://smartmontools.sourceforge.net/man/smartd.conf.5.html	<input type="checkbox"/>	
✓✓	I	SMARTD – SMART Disk Monitoring Daemon (online help describing the SMART monitoring tools project), http://smartmontools.sourceforge.net/man/smartd.8.html	<input type="checkbox"/>	
✓✓	J	SMARTCTL – Control and Monitor Utility for SMART Disks (online help describing the SMART monitoring tools project), http://smartmontools.sourceforge.net/man/smartctl.8.html	<input type="checkbox"/>	

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	K	Snort 2.0 Intrusion Detection, 2003, by JAY BEALE, JAMES C. FOSTER, JEFFREY POSLUNS and BRIAN CASWELL, Syngress Publishing, Inc., Rockland, MA, ISBN: 1-931836-74-4	<input checked="" type="checkbox"/>
✓	L	Hardware Monitoring by lm_sensors, Copyright © 1999-2004 The Lm_sensors Group, http://secure.netroedge.com/~lm78	<input type="checkbox"/>
✓	M	Sensors FAQ for lm_sensors version 2.12, Copyright © 1998-2004, FRODO LOOIJAARD, PHILIP EDELBROCK and MARK STUDEBAKER, http://www2.lm-sensors.nu/~lm78/cvs/lm_sensors2/doc/lm_sensors-FAQ.html	<input type="checkbox"/>
✓	N	Tripwire.org, http://www.tripwire.org/ Tripwire Open Source, Linux Edition FAQ, http://www.tripwire.org/qanda/index.php Downloads, http://www.tripwire.org/downloads/index.php	<input type="checkbox"/>
✓	O	The Design and Implementation of Tripwire: A File System Integrity Checker by GENE H. KIM and EUGENE H. SPAFFORD, © 1994 ACM	<input type="checkbox"/>
✓	P	fverify(8), http://h30097.www3.hp.com/docs/base_doc/DOCUMENTATION/V51_HTML/MAN/INDEXES/INDEX_F.HTM	<input type="checkbox"/>
✓	Q1	RTAP - Increasing Visibility and Return on Real-time Information with a Mission Critical Supervisory Platform by Verano, Inc. © Copyright 2003, http://www.verano.com/general_downloads/rtap_overview.pdf	<input type="checkbox"/>
✓	Q2	RTAP™ Technical Overview, http://www.verano.com/general_downloads/RTAP_TechDataSheet_July04.pdf	<input type="checkbox"/>
✓	R	Open - Proven network and security threat management - Products http://www.open.com/products/systemwatch/sys_architecture.shtml http://www.open.com/products/systemwatch/sys_arch_console.shtml http://www.open.com/products/systemwatch/sys_arch_agent.shtml © 2000 - 2004 OpenService, Inc.	<input type="checkbox"/>

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✓✓	S	Open - Proven network and security threat management – Enterprise Security Information Management, Event Correlation and Log Analysis, http://www.open.com/index_core.htm	<input type="checkbox"/>
✓✓	T	Open - Proven network and security threat management – Solutions, http://www.open.com/solutions/solutions.shtml	<input type="checkbox"/>
✓✓	U	Open - Proven network and security threat management – What is Event Correlation, http://www.open.com/solutions/correlation.shtml	<input type="checkbox"/>
✓✓	V	Open - Proven network and security threat management – Correlation Semantics – What's in a Name, http://www.open.com/solutions/SimSemantics.shtml	<input type="checkbox"/>
✓✓	W	Open - Proven network and security threat management – Overcoming the Barriers to Successful Security Event Management, http://www.open.com/solutions/successful_SEM.shtml	<input type="checkbox"/>
✓✓	X	Open - Proven network and security threat management – What's Magic About Security Event Correlation, http://www.open.com/solutions/magicquadrant.shtml	<input type="checkbox"/>
✓✓	Y	Open - Proven network and security threat management – Case Study: Countrywide Home Loans, http://www.open.com/solutions/countrywide.shtml	<input type="checkbox"/>
✓✓	Z	Open - Proven network and security threat management – Case Study: Stadtwerke Dusseldorf AG, http://www.open.com/solutions/stadtwerke.shtml	<input type="checkbox"/>
✓✓	AA	Open - Proven network and security threat management – Products – Enterprise Security Information Management and Threat Correlation, http://www.open.com/products/products.shtml	<input type="checkbox"/>
✓✓	AB	Open - Proven network and security threat management – Partners – Introducing Security Threat Manager/Surveyor™, http://www.open.com/partners/partners_surveyor.shtml	<input type="checkbox"/>
✓✓	AC	Open - Proven network and security threat management – Security Threat Manager™ v2.0, http://www.open.com/products/threatmanager/STM2_FullLog.shtml	<input type="checkbox"/>

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✓✓	AD	Open - Proven network and security threat management – Products – Open NerveCenter Overview, http://www.open.com/products/nervecenter/nervecenter.shtml	<input type="checkbox"/>
✓✓	AE	Open - Proven network and security threat management – News – OpenService Appoints Michael Ruffolo to Board of Directors, http://www.open.com/news/040719.shtml	<input type="checkbox"/>
✓✓	AF	Open - Proven network and security threat management – News – Press Releases, http://www.open.com/news/releases.shtml	<input type="checkbox"/>
✓✓	AG	Open - Proven network and security threat management – News – Press Coverage Archive, http://www.open.com/news/coverage.shtml	<input type="checkbox"/>
✓✓	AH	Open - Proven network and security threat management – News – Seminars, http://www.open.com/news/events.shtml	<input type="checkbox"/>
✓✓	AI	Open - Proven network and security threat management – News – Newsletter Information, http://www.open.com/news/newsletters.shtml	<input type="checkbox"/>
✓✓	AJ	Open – Security Threat Manager™ At Work	<input type="checkbox"/>
✓✓	AK	Open – Network Security Management – Active Event Monitoring and Management of Your Security Infrastructure, © 2002 OpenService, Inc.	<input type="checkbox"/>
✓✓	AL	SELinux Documentation, http://www.nsa.gov/selinux/info/docs.cfm	<input type="checkbox"/>
✓✓	AM	Integrating Flexible Support for Security Policies into the Linux Operating System by PETER LOSCOCCO and STEPHEN SMALLEY, FREENIX Track: 2001 USENIX Annual Technical Conference June 2001	<input type="checkbox"/>
✓✓	AN	Meeting Critical Security Objectives with Security-Enhanced Linux by PETER A. LOSCOCCO and STEPHEN D. SMALLEY, 2001 Ottawa Linux Symposium	<input type="checkbox"/>
✓✓	AO	Securing the X Window System with SELinux by DOUG KILPATRICK, WAYNE SALAMON and CHRIS VANCE, initial version: January 2003, revised version: March 2003	<input type="checkbox"/>

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✓✓	AP	Configuring the SELinux Policy by STEPHEN SMALLEY, initial version: February 2002, revised version: January 2003	<input type="checkbox"/>
✓✓	AQ	Implementing SELinux as a Linux Security Module by STEPHEN SMALLEY, CHRIS VANCE and WAYNE SALAMON, initial version: December 2001, revised version: May 2002	<input type="checkbox"/>
✓✓	AR	Integrating Flexible Support for Security Policies into the Linux Operating System by PETER LOSCOCCO and STEPHEN SMALLEY, first published: December 2000, last revised: February 2001	<input type="checkbox"/>
✓✓	AS	A Security Policy Configuration for the Security-Enhanced Linux by STEPHEN SMALLEY and TIMOTHY FRASER, first published: December 2000, last revised: February 2001	<input type="checkbox"/>
✓✓	AT	2003 Ottawa Linux Symposium BOF Presentation by STEPHEN D. SMALLEY, 2003 Ottawa Linux Symposium SELinux "Birds of a Feather" Session	<input type="checkbox"/>
✓✓	AU	Security-Enhanced Linux 2001 Kernel Summit Presentation by PETER A. LOSCOCCO, 2001 Linux 2.5 Kernel Summit	<input type="checkbox"/>
✓✓	AV	Press Releases: OpenService Announces Security Threat Manager Version 2, http://www.open.com/news/releases.jsp?file=031210.jsp	<input type="checkbox"/>
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